

Appendix C

Oral Presentations to Task Force*

Toronto (13/14Oct05)

Compliax Consulting Inc.
Capital Markets Advisers

- John Carson, Managing Director

TSX Group

- Richard W. Nesbitt, CEO & Kevin Doyle, Director Corporate Strategy & Research

Ontario Securities Commission

- Susan Wolburgh Jenah, Acting Chair (now Vice-Chair)
- Charlie McFarlane, Executive Director

British Columbia Securities Commission

- Brent W. Aitken, Vice Chair & Leanne Mercer, Senior Legal Counsel

Vancouver (17Nov05)

British Columbia Securities Commission

- Doug Hyndman, Chairman, Robin Ford, Commissioner & Brenda J. Benham, Special Counsel Market Relations

British Columbia Investment Management Corporation

- Susan Enefer, Manager Corporate Governance, Robert des Trois Maison, General Counsel & Brian J. Wallace, Q.C., Partner & Legal Counsel (Lawson Lundell LLP)

The Canadian Listed Company Association

B.C. & Yukon Chambers of Mines

- D. Bruce McLeod, President & Director (CLCA)

Canada Steps Up

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Toronto (8Dec05)

The Canadian Institute of Chartered Accountants

- David W. Smith, President & CEO & Ron Salole, VP Standards

The Investment Funds Institute of Canada

- John Murray, VP Regulation & Corp Affairs, & Amir Mirza, Legal Counsel

Pension Investment Association of Canada

- Peter Waite, Executive Director, Michael Campbell, Member of PIAC Board of Directors & Paul Gauthier, Member of PIAC Government Relations Committee

Burgeonvest Securities Limited

- John J. De Goey, Senior Financial Adviser

Northern Securities Inc.

- Vic Alboini, Chairman & Chief Executive Officer

Lowry, Doug

Canadian Coalition for Good Governance

- Catherine Jackson, Manager Corp Governance & Proxy Voting Ontario Teachers' Pension Plan – on behalf of the CCGG

Market Regulation Services Inc.

- Tom Atkinson, President & CEO & Doug Harris, Director of Policy, Research & Strategy Market Policy & General Counsel's Office

Canadian Public Accountability Board

- Gordon Thiessen, Chairman

Montreal (9Dec05)

Jarislowsky Fraser Limited

- Stephen A. Jarislowsky, Chairman

Montreal Exchange

- Joëlle Saint-Arnault, VP, Legal Affairs & Secretary

Le Conseil des fonds d'investissement du Québec

Quebec Investment Funds Council

- Pierre Hamel, Président du conseil & President Optimum Placements, Renée Pietter, Principal Compliance Manager, National Bank of Canada, VP Securities Chambre de la Securite Financiere, Eric Lapierre, Gen Dir & Legal Counsel, Les Services Investors Ltee & Chair, QIFC Regulations Committee, & Sylvie Lachappelle, Operatons Director-Quebec, QIFC

Ottawa (26Jan06)

Department of Finance Canada, Financial Sector Policy Branch

- Frank Swedlove, Assistant Deputy Minister.
Serge Dupont, General Director (Mr. Dupont is current Asst Deputy Minister).
Veronica Wessels, Financial Sector Policy Branch.
& Bob Stewart, Financial Sector Policy Branch

Bank of Canada

- David Longworth, Deputy Governor, Financial Markets Issues,
Donna Howard, Chief of the Financial Markets Dept,
Toni Gravelle, Asst Director Financial Markets Dept
& Natasha Khan, Analyst, Financial Markets Dept

Toronto (27Jan06)

Investment Dealers Association of Canada

- Joseph J. Oliver, President & CEO & Paul Bourque, Senior VP, Member Regulation

Arch Insurance Group

- JordonS. Solway, Regional VP, Claims & Legal,
Peter Takacek, Regional VP Executive Assurance, &
David Price, Regional VP, Financial Institutions & Professional Liability

RBC Global Technologies

- Bruce Macdonald, Head of Wholesale GTO Centres

Washington (23Feb06)

United States Department of the Treasury

- Emil W. Henry, Jr., Assistant Secretary of the Treasury for Financial Institutions
Mario Ugoletti, Director, Office of Financial Institutions Policy,
& Gerry Hughes, Office of Financial Institutions Policy

U.S. Securities and Exchange Commission

- Paul M. Dudek, Chief of the Office of International Corporate Finance,
Martin Dunn, Deputy Director, Corporation Finance,
Elizabeth Murphy, Corporation Finance
& Jennifer Sawin, Asst Director, Office of Investment Adviser Regulation

Cleary Gottlieb LLP

- David M. Becker, Partner (& former general counsel to SEC)

National Association of Securities Dealers

- Elisse Walter, Executive Vice President,
Jeanne Balcom, Senior Director, International Affairs & Services,
Marc Menchal, Exec VP, John Gannon, VP, Erin Moss, VP & George Walz, VP

New York (24Feb06)

New York Stock Exchange

- Susan Axelrod, Regulation, Chief of Staff along with
James Duffy, Beth Gripper, Grace Vogel, Susan Merrill, Joy Weber & Janice O'Neill

Citigroup

- Edward F. Greene, General Counsel, Corporate & Investment Banking

Securities Industry Association

- John Faulkner, Chair Capital Markets Committee along with
Matthew Leavitt, Goldman Sachs, Robert Innocentin, Merrill Lynch,
Kevin Genirs & Scott Kursman, Lehman Bros & Arunus Gudaitis

Toronto (20Apr06)

Malcolm K. Sparrow, Professor of Practice, Harvard University

Toronto (26May06)

Canadian Securities Institute (member International Forum for Investor Education)

- Dr. Roberta Wilton, President

NASD, Investor Education Foundation (founding member IFIE)

- John Gannon, Vice President, Investor Education

Toronto (22Jun06)

Task Force on Securities Regulation & Reform (Council of Ministers)

- Don Leitch, Chairman of Task Force (former Deputy Minister in BC &
former Cabinet Secretary in Manitoba),
Joanne Cain, Director, Ministry of Finance, B.C.,
Eric Spink, Adviser to the Dept of Finance, Alta (former Vice-Chair, ASC)
& Richard Boivin, Assistant Deputy Minister, Ministry of Finance, Quebec

* This list does not include various private submissions or presentations.